Attorney for Applicant(s)

Registration No. 47,954

I hereby certify that this paper is being deposited with

in an envelope addressed to: Mail Stop RCE,

VA 22313-1450, on this date

February 10, 2006

Date

the United States Postal Service as FIRST-CLASS mail

Commissioner for Patents, P.O. Box 1450, Alexandria,

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FER 14 2008

In re U.S. Application of:

Applicants:

Takeda et al.

Serial No.:

09/663,580

Conf. No.:

2108

Filed:

September 15, 2000

For:

VERTICALLY-ALIGNED (VA) LIQUID CRYSTAL DISPLAY

DEVICE

Art Unit:

2871

Examiner:

Nguyen, D.

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL

Dear Sir:

Transmitted herewith is a communication regarding the above-identified application.

- (X) Information Disclosure Statement (in duplicate) with Form PTO-1449 with oath and copies of cited foreign references.
- (X) Information Disclosure Statement (in duplicate) with Form PTO-1449 with fee and copies of cited foreign references.
- (X) Request for Acknowledgment of consideration of an Information Disclosure Statement (with copies of originally filed Information Disclosure Statements, forms PTO 1449, and postcards).
- (X) If a Petition under 37 C.F.R. §1.136(a) for an extension of time for response is required to make the attached response timely and does not separately accompany this transmittal, Applicant(s) hereby petition(s) under 37 C.F.R. §1.136(a) for an extension of time for response in the above-identified application for the period required to make the attached response timely.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Customer No. 24978

February 10, 2006

300 South Wacker Drive

Suite 2500

Chicago, Illinois 60606

Telephone: Facsimile:

(312) 360-0080 (312) 360-9315

P:\DOCS\4328\64680\9V8462.DOC

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

Josh C. Snider

Registration No. 47,954

Attorney for Applicant(s)

Registration No. 47,954

2108

I hereby certify that this paper is being deposited with

the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Mail Stop Amendment,

Commissioner for Patents, R.O. Box 1450, Alexandria,

Conf. No.:

VA 22313-1450, on this date

February 10, 2006

Date

Applicants:

Takeda et al.

Serial No.:

09/663,580

Filed:

September 15, 2008

For:

VERTICALLY-ALIGNED (VA) LIQUID CRYSTAL DISPLAY

FFR 14 2006

DEVICE

Art Unit:

2871

Examiner:

Nguyen, D.

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

- (a) a statement under 37 C.F.R. §1.97(e); or
- (b) the \$180.00 fee under 37 C.F.R. \$1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

() Statement Under 37 C.F.R. §1.97(e):

- () The enclosed references were cited in a Communication issued by the Japanese Patent Office (copy enclosed). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.
- () Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

(X) Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Customer No. 24978

February 10, 2006

300 South Wacker Drive

Suite 2500

Chicago, Illinois 60606 Telephone:(312) 360-0080

Facsimile: (312) 360-9315 P:\DOCS\4328\64680\9Y5668.DOC

Respectfully submitted,

GREER BURNS & CRAIN, LTD.

D.,,

osh C. Snider, Reg. No. 47,954

180.00 OP

01 FC:1806

02/15/2006 HGUTEMA1 00000012 09663580

| Form PTO-1449 U.S. Department of Commerce | | | Attorney Docket No.: 2803.64680 | | Serial No.: 09/663,580 | | | | | |
|---|---|-------------------------------|---------------------------------|---------------------------------------|--|-------------|-----------------------------|-------------|----------------------------|--|
| (Rev. 8-88 | (Rev. 8-88) Patent and Trademark Office INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) FFR 14 2000 | | | | Applicant: Takeda et al. | | | | | |
| | | sheets if necessary) | | 2006 | Filing Date: September 15, 2000 Group: 2871 | | | | | |
| U.S. PATI | EN | T DOCUMENTS | LER 14 | AAFW OFFI | | | | | | |
| Examiner Initial* | | Document Number | Date | | Name | Class | | | Filing Date If Appropriate | |
| | | 4,385,806 | | Fergason | | | | | | |
| | | 4,701,028 | | Clerc | | | | | | |
| | | 4,786,147 | | Clerc | | | | | | |
| | | 4,889,412 | | Clerc | | | | | | |
| | | 5,579,140 | | Yamahara | | | | | | |
| | | 5,745,206 | | Koike | | | | | | |
| | | 5,757,451 | | Miyazaki | | | | | | |
| | | 5,907,380 | | Lien | | | | | | |
| | | 6,147,729 | | Kurauchi | | | | | | |
| 6,288,762 Sasaki | | | | | | | | | | |
| FOREIGN | P | ATENT DOCUMENTS | | | | , | | | | |
| | | | | | · | | | Translati | on | |
| | | Document Number | Date | Country | | Class | Subclass | Yes | No | |
| | | GB 1,462,978 | Jan. 26,1977 | England | | | | Abs. | | |
| | | JP 6-082777 | March 25,1994 | Japan | | | | Abs. | .= | |
| | | JP 9-033882 | Feb. 7, 1997 | Japan | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| OTHER D | 0 | CUMENTS (Including Aut | hor, Title, Date, Pe | rtinent Pages | , Etc.) | | | | | |
| | | Soref et al.; "Electrically C | Controlled Birefring | gence of Thin | Nematic Films," Journal of Appl | ied Physic | s, Vol. 43, B | i, 5, May | 1972, | |
| | | рр. 2027-2037. | | | | | | | | |
| | | | | | | ····· | | | | |
| | | | | | | | | <u> </u> | | |
| , <u> </u> | _ | | | | | | | | | |
| | | | | · · · · · · · · · · · · · · · · · · · | | | | | | |
| Examiner | | | | Date | Considered | | | | | |
| *Examine | r: | Initial if c | itation considered, | whether or no | ot citation is in conformance with ered. Include copy of this form w | MPEP 609 |); Draw line mmunication | through c | itation cant. | |

Attorney for Applicant(s)

Registration No. 47,954

2108

I hereby certify that this paper is being deposited with

the United States Postal Service as FIRST-CLASS mail

Commissioner for Patents, P.O. Box 1450, Alexandria,

in an envelope addressed to: Mail Stop Amendment,

Conf. No.:

VA 22313-1450, on this date.

February 10, 2006

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FER 14 2006

In re U.S. Application of:

Applicants:

Takeda et al.

Serial No.:

09/663,580

Filed:

September 15, 2000

For:

VERTICALLY-ALIGNED (VA)

LIQUID CRYSTAL DISPLAY

DEVICE

Art Unit:

2871

Examiner:

Nguyen, D.

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

- (a) a statement under 37 C.F.R. §1.97(e); or
- (b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

Statement Under 37 C.F.R. §1.97(e): (X)

- The enclosed references were cited in a Communication issued by the Japanese Patent Office (copy (X) enclosed). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.
- Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- () Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Customer No. 24978

February 10, 2006

300 South Wacker Drive

Suite 2500

Chicago, Illinois 60606 Telephone: (312) 360-0080

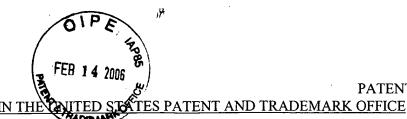
Facsimile: (312) 360-9315 P:\DOCS\4328\64680\9Y5662.DOC

Respectfully submitted,

GREER BURNS & GRAIN, LTD.

| (Rev. 8-88) Patent and Trademark Office INFORMATION DISCLOSURE CITATION (Use account of the page 12 | Attorney Docket No.: 4328.6468 Applicant: Takeda et al. Filing Date: October 4, 2000 | 32 | Serial No.: | 09/679,455 | | | | | |
|---|--|----------|--------------|---------------------------------------|---------|--|--|--|--|
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | - | | | | | | |
| (Use several sheets if necessary) | Filing Date: October 4, 2000 | | | Applicant: Takeda et al. | | | | | |
| U.S. PATENT DOCUMENTS | - | | Group: 28 | Group: 2871 | | | | | |
| | | | | | | | | | |
| Examiner Initial* Document Number Date | Name | Class | Subclass | Filing D If Approp | | | | | |
| 4,701,028 Oct. 20, 1987 Clerc et. al. | | | | | | | | | |
| 6,061,116 May 9, 2000 Nishida et. al | 1. | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | —————————————————————————————————————— | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | <u> </u> | l . | <u> </u> | | | | | |
| | 1 12 | | | Translation | ì | | | | |
| Document Number Date Country | | Class | Subclass | Yes | No | | | | |
| 04-251285 Sept. 7 1992 Japan | | | | Abs. | | | | | |
| 07-120767 May 12, 1995 Japan | | | | Abs. | | | | | |
| 08-022023 Jan. 23, 1996 Japan | | | | Abs. | | | | | |
| 08-095054 April 12, 1996 Japan | | | | Abs. | | | | | |
| 07-333617 Dec. 22, 1995 Japan | | | | Abs. | • | | | | |
| 08-043825 Feb. 16,1996 Japan | | | | Abs. | | | | | |
| 60-256121 Dec. 17, 1985 Japan | | | : | *X | | | | | |
| WO97/012275 April 3,1997 WIPO | | | | **X (and Abs.) | | | | | |
| 02-035416 Feb. 6, 1990 Japan | | | | Abs. | - | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, | Etc.) | | | · · · · · · · · · · · · · · · · · · · | | | | | |
| | | | | | | | | | |
| Examiner Date | Considered | | | | | | | | |
| *Examiner: Initial if citation considered, whether or no not in conformance and not considere | ot citation is in conformance with | MPEP 609 | 9; Draw line | through citat | tion if | | | | |

^{* 60-256121} correspondes to U.S. Patent No. 4,701,028
** WO97/012275 correspondes to U.S. Patent No. 6,061,116



Attorney for Applicant(s) Registration No. 47,954

I hereby certify that this paper is being deposited with

the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Mail Stop Amendment

Commissioner for Patents, P.O. Box 1458, Alexandria,

VA 22313-1450, on this data

February 10, 2006

Date

In re U.S. Application of:

Applicants: Takeda et al.)

Serial No.: 09/663,580)

Conf. No.: 2108)

September 15, 2000)

For: VERTICALLY-ALIGNED (VA)
LIQUID CRYSTAL DISPLAY
DEVICE

Art Unit: 2871

Examiner: Nguyen, D.

REQUEST FOR ACKNOWLEDGMENT OF

CONSIDERATION OF AN INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

2803.64680

Filed:

Applicants respectfully request acknowledgment of the Information Disclosure Statements ("IDS") filed on May 2, 2001, July 2, 2001, and July 5, 2001. Courtesy copies of the IDS's, Forms PTO-1449, and postcards are enclosed.

To date, no acknowledgement of these IDS's has been received by Applicants. Applicants request such acknowledgment of consideration in the form of an initialed copy of the Information Disclosure Citations (Form PTO-1449).

Should there be any questions regarding this paper, the Examiner is invited to contact the undersigned attorney at the number listed below.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By

Josh C. Snider

Registration No. 47,954

Customer No. 24978

February 10, 2006

300 South Wacker Drive Suite 2500 Chicago, Illinois 60606 Telephone: (312) 360-0080

Facsimile: (31

(312) 360-9315

P:\DOCS\4328\64680\9Y5135.DOC

In re Patent Application of JIL 0 5 2001

Takeda et al.

Serial No. 09/663,580

Serial No. 15-00

Filed: 9-15-00

Filed: 9-15-00

Filed: 9-15-00

Enclosed is an IDS, PTO Form 1449; cited references; and Certificate of Mailing.

Assistant Commissioner for Patents

Mailing.

Assistant Commissioner for Patents

Please acknowledge receipt hereto and mailing this card.

Respectfully.

GREER, BURNS & CRAIN, LTD.

GREER, BURNS & CRAIN, LTD.

2803.64680



I hereby certify that this paper is being deposited wit the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Assistant Commissioner for

Registration No. 293

Attorney for Applicant

REER, BU

Patents, Washington, D.C. 20231, on this date.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appr. February 20, 1998

Applicant:

Takeda et al.

Serial No.

09/663,580

Filed:

September 15, 2000

For:

VERTICALLY-ALLIGNED

(VA) LIQUID CRYSTAL

DISPLAY DEVICE

Art Unit:

2871

Examiner:

Nguyen, D.

SUBMISSION OF CORRECTED FORM PTO 1449

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references filed with an Information Disclosure Statement on May 7, 2001 are believed to have included a copy of the following reference, which was inadvertently omitted from the Form PTO 1449 mailed with that IDS.

OTHER DOCUMENTS

1. Okamoto; MVA Liquid Crystal Technology - Latest Version of VA Liquid Crystals; *Nikkei Flat Panel Display*; Part 3-6; Pages 104-107.

A corrected Form PTO 1449 is enclosed. A courtesy copy of the reference is also enclosed.

The fee of \$180.00 was paid on May 2, 2001.

Respectfully submitted, GREER, BURNS & CRAIN, LTD.

By

Patrick G. Burns

Registration No. 29,367

July 3, 2001

300 South Wacker Drive - Suite 2500

Chicago, Illinois 60606 Telephone: 312.360.0080 Facsimile: 312.360.0315

| | | | | | | | Sheet _ | |
|------------------------|-------------------------|----------------------|-------------------|------------------------------|-------------------|---------------|----------------------------|--|
| Form PTO (Rev. 8-88 | | of Commerce | E. IAPRS | Attorney Docket No.: 28 | 03.64680 | Serial No. | : 09/663,580 | |
| | ATION DISCLOSURE CIT. | nark Office | R 14 Mile W | Applicant: Takeda et al. | | | | |
| (Use sever | al sheets if necessary) | | | Filing Date: 9/15/00 | | Group: 28 | 371 | |
| U.S. PATE | ENT DOCUMENTS | | ENT & TRADE | | | | | |
| Examiner Initial* | Document Number | Date | | Name | Class | Subclass | Filing Date If Appropriate | |
| | 5 126 407 | A 4 1002 | | | | <u> </u> | | |
| | 5,136,407 | Aug. 4, 1992 | | Clerc | | <u> </u> | Mar. 4, 1991 | |
| | 5,298,199 | Mar. 29, 1994 | | Hirose et al. | | ļ | Oct. 11, 1991 | |
| | 5,434,690 | Jul. 18, 1995 | <u> </u> | Hisatake et al. | _ | | Jul. 26, 1994 | |
| | 5,583,679 | Dec. 10, 1996 | | Ito et al. | | | May 31, 1995 | |
| | 5,608,556 | Mar. 4, 1997 | | Koma | | | Jun. 21, 1994 | |
| | 5,953,093 | Sep. 14, 1999 | | Hirata et al. | | | Nov. 10, 1998 | |
| | 5,986,732 | Nov. 16, 1999 | | Ozeki et al. | | | Sep. 23, 1996 | |
| | 5,995,190 | Nov. 30, 1999 | | Nagae et al. | | | Mar. 6, 1997 | |
| | 6,191,836 | Feb. 20, 2001 | | Woo et al. | | | Jun. 5, 1997 | |
| | <u> </u> | | | | | | | |
| FOREIGN | PATENT DOCUMENTS | · | | | N.F. | : | | |
| | · | | | | | | Translation | |
| | Document Number | Date | Country | | Class | Subclass | Yes No | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| · | | | | | | | | |
| OTHER DO | OCUMENTS (Including Au | thor, Title, Date, 1 | Pertinent Pages, | Etc.) | | | | |
| | Okamoto; MVA Liquid | l Crystal Techno | ology - Latest V | ersion of VA Liquid Cr | ystals; Nikkei I | Flat Panel 1 | Display; | |
| | Part 3-6; Pages 104-10 | 7. | | | | | | |
| | | | | | • | | | |
| Γ | | | - | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Examiner | | | Date (| Considered | | | | |
| Examiner: | | itation considered | l, whether or not | citation is in conformance | with MPEP 609 | ; Draw line t | hrough citation | |
| | 11 110 | · in comornance | and not conside | red. Include copy of this fo | orm with next cor | rumunication | to applicant. | |



In re Patent Application of Takeda et al.

Serial No. 09/663,580

Filed: 9-15-00

For: VERTICALLY-ALLIGNED (VA)...

PATENT APPLICATION

File No. 2803.64680 PGB:kmw

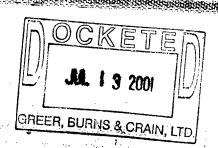
7-2-01

Enclosed is an IDS, PTO Form 1449; cited references; and Certificate of Mailing.

Assistant Commissioner for Patents

Please acknowledge receipt of the above identified document by applying the Patent and Trademark Office receipt hereto and mailing this card.

Respectfully, GREER, BURNS & CRAIN, LTD.



2803.64680

RE ANGEL PER STRANGE PER STRAN

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Takeda et al.

Serial No.

09/663,580

Filed:

September 15, 2000

For:

VERTICALLY-ALLIGNED

(VA) LIQUID CRYSTAL DISPLAY DEVICE

Art Unit:

2871

Examiner:

Nguyen, D.

I hereby certify that this paper is being deposited with the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this date.

Date -CLASS.WCM

Appr. February 20, 1998

Attorney for Applicant

CREER, BURINS & CRAIN, LTD

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants through counsel herewith submit copies of the patents, as set forth in the attached form PTO-1449 as follows:

U.S. PATENT DOCUMENTS

| PATENT NO. | <u>PATENTEE</u> | ISSUE DATE |
|------------|-----------------|---------------|
| 5,673,092 | Horie et al. | Sep. 30, 1997 |
| 5,917,572 | Kurauchi et al. | Jun. 29, 1999 |
| 6,061,117 | Horie et al. | May 9, 2000 |

FOREIGN PATENT DOCUMENTS

<u>DOCUMENT</u> <u>COUNTRY</u> <u>DATE</u>

7-199205 Japan Aug. 4, 1995

| DOCUMENT | COUNTRY | DATE |
|----------|---------|---------------|
| 6-258649 | Japan | Sep. 16, 1994 |
| 7-234414 | Japan | Sep. 5, 1995 |
| 8-292423 | Japan | Nov. 5, 1996 |
| 7-281195 | Japan | Oct. 27, 1995 |
| 7-318950 | Japan | Dec. 8, 1995 |
| 9-43592 | Japan | Feb. 14, 1997 |
| 9-120075 | Japan | May 6, 1997 |
| 7-104450 | Japan | Nov. 13, 1995 |
| 2507122 | Japan | Apr. 2, 1996 |
| 445 777 | Europe | Sep. 11, 1991 |
| 676 661 | Europe | Oct. 11, 1995 |

<u>REMARKS</u>

JP 7-234414 corresponds to U.S. Patent No. 5,434,690 (cited on May 1, 2001); JP 7-104450 corresponds to JJ.S. Patent No. 5,298,199 (cited on May 1, 2001); JP 2507122 corresponds to EP 445,777; JP 8-292423 corresponds to U.S. Patent No. 5,673,092 and 6,061,117; JP 7-281195 corresponds to EP 676,661; and JP 9-120075 corresponds to U.S. Patent No. 5,917,572.

The enclosed Japanese references were first cited in a Communication issued by the Japanese Patent Office (copy enclosed), and the corresponding English language patents (except the '690 and '199 patents) were found thereafter. Applicants

hereby certify that the above Japanese references were first cited in any communication

from a foreign patent office in a counterpart foreign application not more than three (3)

months prior to the filing of this Statement, and the corresponding English language

patents (except the '690 and '199 patents) were not known more than three (3) months

prior to the filing of this Statement.

The Commissioner is hereby authorized to charge any fees which may be

required or credit any overpayment, to Deposit Account No. 07-2069. Should no proper

payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-

dated, otherwise improper or informal or even entirely missing, the Commissioner is

authorized to charge the unpaid amount to Deposit Account No. 07-2069. A duplicate

copy of this sheet is enclosed.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

By

Patrick G. Burns

Registration No. 29,367

July 2, 2001

300 South Wacker Drive

Suite 2500

Chicago, Illinois 60606

Telephone: 312.360.0080

Facsimile: 312.360.9315

F:\DATA\WP60\2803\62444\IDS-6.DOC

Sheet 1

OF E IA. ON WEST

FORM PTO-1449

ST OF PATENTS AND PUBLICATIONS FOR PPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| ATTY. DOCKET NO. | APPLICATION NO. | CONFIRMATION | NO | |
|------------------|-----------------|--------------|-----|--|
| 2803.64680 | 09/663,580 | | NO. | |
| APPLICANT | | | | |
| Takeda et al. | | | | |
| FILING DATE | GROUP | | | |
| Sep. 15, 2000 | 2871 | | | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| | . حسي | | | | | |
|---------------------|-------|--------------------|---------------|-----------------|-------|---------------------------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NA ME | CLASS | SUB CLASS |
| | -1A | 5,673,092 | Sep. 30, 1997 | Horie et al. | 349 | 86 |
| | 1B | 5,917,572 | Jun. 29, 1999 | Karauchi et al. | 349 | 156 |
| | 1C | 6,061,117 | May 9, 2000 | Horie et al. | 349 | 156 |
| | ĺD. | | | | 919 | 150 |
| | 1E | | | | | · · · · · · · · · · · · · · · · · · · |
| | 1F | | | | | |
| | 1G | | | | | |
| · | 1H | | | | | |
| | 11 | | | | | |
| | 1J | | | · · | | |
| | 1K | | | | | |

FOREIGN PATENT DOCUMENTS

| 1 | DOCUMENT | DATE | NAME | 01200 | SUB CLASS | TRANSLATION | |
|--------|----------|---------------|---------------------------------|-------|--------------|-------------|------|
| | NUMBER | | · · · · · · · · · · · · · · · · | CLASS | | YES | NO . |
| 1L | 7-199205 | Aug. 4, 1995 | Japan | | | х | |
| 1M | 6-258649 | Sep.16, 1994 | Japan | | | × | |
| 1N | 7-234414 | Sep. 5, 1995 | Japan | | | | × |
| 10 | 8-292423 | Nov. 5, 1996 | Japan | | | | × |
| 1P | 7-281195 | Oct.27, 1995* | Japan | | - | | X |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | _ | |
|----------------|----|--|
| | 1Q | |
| | 1R | |
| | 15 | |
| - FY A A MAINT | | |

EXAMINER

DATE CONSIDERED



Sheet 2

| QE | les so | F |
|---------------|------------|---|
| 6 | 17906 | Ϋ́L, |
| \ ç €8 | 3. | \$ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ |
| PATE | NT & TRACE | 3/ |

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| ATTY. DOCKET NO. | A PPLICATION NO. | CONFIRMATION | NO |
|------------------|------------------|--------------|----|
| 2803.64680 | 09/663,580 | | |
| APPLICANT | | | |
| Takeda et al. | | | |
| FILING DATE | GROUP | | |
| Sep. 15, 2000 | 2871 | | |

U.S. PATENT DOCUMENTS

| | | 0.5. FA I | ENT DOCUMENTS | | |
|---------------------|--------------------|-----------|--|-------|---------------------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NA ME | CLASS | SUB CLASS |
| 2A | | * | | | · · · · · · · · · · · · · · · · · · · |
| 2B | | | | | |
| 2C | | | | | |
| 2D | | | | | · |
| 2E | | | The second secon | | |
| 2F | | | | | |
| 2G | | | | | |
| 2H | | | | | |
| 21 | | | | | · |
| 2J | | | | | |
| 2K | | | | | |

FOREIGN PATENT DOCUMENTS

| l | DOCUMENT | DATE | NAME | CLASS | SUB CLASS | TRANSLATION | |
|--------|----------|--------------|-------|-------|--------------|-------------|-------|
| | NUMBER | | | CLASS | | YES | NO |
| 2L | 7-318950 | Dec.8,1995 | Japan | | | × | |
| 2M | 9-43592 | Feb.14, 1997 | Japan | | | х | |
| 2N | 9-120075 | May.6,1997 | Japan | | | | × |
| 20 | 7-104450 | Nov. 3, 1995 | Japan | | | | x |
| 2P | 2507122 | Apr.2,1996 * | Japan | | - | | ~-X * |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | 2Q | |
|--------|-----|-----------------|
| | | |
| | 2R | |
| | | |
| | 2\$ | |
| | | |
| EXAMIN | ER | DATE CONSIDERED |

Sheet 3

FEB 14 7000 BEP

FORM PTO-1449

ST OF PATENTS AND PUBLICATIONS FOR PPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

| ATTY. DOCKET NO. | LA DEL IOA TIOAL NO | | |
|------------------|---------------------|--------------|-----|
| ATTT. DOCKET NO. | APPLICATION NO. | CONFIRMATION | NO. |
| 2803.64680 | 09,663,580 | | |
| APPLICANT | | | |
| Takeda et al. | | | |
| FILING DATE | GROUP | · | |
| Sep. 15, 2000 | 2871 | | |

| R | EFE | EREN | ICE | DES | IGNA | TION |
|---|-----|------|-----|-----|------|------|

U.S. PATENT DOCUMENTS

| | · | U.S. PATE | NI DOCOMENIS | ., · · | |
|---------------------|--------------------|-----------|--------------|--------|--------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
| ЗА | | | | | |
| 3B | | | | | |
| 3C | | | | | |
| 3D | | | | | |
| 3E | | | | | |
| 3F | | | | | |
| 3G | | | | | |
| 3H | | | | | - |
| 31 | | | | | |
| 3J | | | | | |
| зк | | | | | |

FOREIGN PATENT DOCUMENTS

| 1 | DOCUMENT | DOCUMENT DATE NUMBER | NAME | CLASS | SUB CLASS | TRANSLATION | |
|--------|----------|----------------------|--------|-------|--------------|-------------|---------|
| | NUMBER | | ĺ | CLASS | | YES | NO |
| 3L | 445 777 | Sep.11, 1991 | Europe | | | x | |
| ЗМ | 676 661 | Oct.11,1995 | Europe | | ļ | х | |
| 3N | | | | | | | |
| 30 | | | | | | | |
| ЗР | | | | | | | *** *** |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| 3Q | | | | |
|------|---|--|------|------|
| | | | | |
| ЗR | | | | |
| | | | | |
| 38 | | | | |
| | | | | |
| | • | | | |

EXAMINER

DATE CONSIDERED



In re Patent Application of Takeda et al.

Serial No. 09/663,580

Filed: 9-15-00

For: VERTICALLY-ALLIGNED (VA). . .

Enclosed is an IDS, PTO Form 1449; cited references; filing fee of \$180.00; and Certificate of Mailing.

Assistant Commissioner for Patents

Please acknowledge receipt of the above identified document by applying the Patent and Trademark Office receipt hereto and mailing this card.

Respectfully,

GREER, BURNS & CRAIN, LTD.

PATENT APPLICATION File No. 2803.64680 PGB:sk

May 2, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re U.S. P | atent Application |) |
|--------------|-------------------|---|
| Applicant: | Takeda et al. | I hereby certify that this paper is being deposited with the United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Assistant Commissioner for |
| Serial No. | 09/663,580 | Patents, Washington, D.C. 20231, on this date. |
| Filed: | 9/15/00 |) Appr. February 20, 1998 Attorney for Applicant |
| For: VERT | TICALLY-ALLIGNED | j j |
| (VA) | LIQUID CRYSTAL |) DOCKETED |
| DISPI | LAY DEVICE | |
| Art Unit: | 2871 | MAY (2001 U |
| • | INFORMATION | DISCLOSURE STATEMENT |

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants through counsel herewith submit copies of the patents, along with filing fee of \$180.00, as set forth in the attached form PTO-1449 as follows:

U.S. PATENT DOCUMENTS

| PATENT NO. | <u>PATENTEE</u> | ISSUE DATE |
|------------|-----------------|---------------|
| 5,136,407 | Clerc | Aug. 4, 1992 |
| 5,298,199 | Hirose et al. | Mar. 29, 1994 |
| 5,434,690 | Hisatake et al. | Jul. 18, 1995 |

| PATENT NO. | PATENTEE | ISSUE DATE |
|------------|---------------|---------------|
| 5,583,679 | Ito et al. | Dec. 10, 1996 |
| 5,608,556 | Koma | Mar. 4, 1997 |
| 5,953,093 | Hirata et al. | Sep. 14, 1999 |
| 5,986,732 | Ozeki et al. | Nov. 16, 1999 |
| 5,995,190 | Nagae et al. | Nov. 30, 1999 |
| 6,191,836 | Woo et al. | Feb. 20, 2001 |

FOREIGN PATENT DOCUMENTS

| PATENT NO. | COUNTRY | ISSUE DATE |
|------------|---------|---------------|
| 7-43719 | Japan | Feb. 14, 1995 |

REMARKS

The cited references are of record in one or more related cases. It is respectfully requested that the above-listed documents be made of record in the present application.

Respectfully submitted,

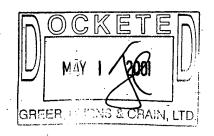
GREER, BURNS & CRAIN, LTD

By **[X//**

Patrick G. Burns

Registration No. 29,367

May 1, 2001 300 South Wacker Drive Suite 2500 Chicago, Illinois 60606 (312) 360 0080



| Patent and Tradem SCLOSURE CITA f necessary) UMENTS ument Number 5,136,407 5,298,199 5,434,690 5,583,679 5,608,556 5,953,093 | Date Date Aug. 4, 1992 Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Applicant: Takeda et al. Filing Date: 9/15/00 Name Clerc Hirose et al. Hisatake et al. | Class | Group: | If App | ng Date propriate |
|--|---|---|--|--|---|--|
| 5,136,407 5,298,199 5,434,690 5,583,679 5,608,556 | Date Aug. 4, 1992 Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Name Clerc Hirose et al. | Class | | If App | |
| 5,136,407 5,298,199 5,434,690 5,583,679 5,608,556 | Date Aug. 4, 1992 Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Name Clerc Hirose et al. | Class | Subclass | If App | |
| 5,136,407 5,298,199 5,434,690 5,583,679 5,608,556 | Aug. 4, 1992 Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Clerc Hirose et al. | Class | Subclass | If App | |
| 5,298,199 5,434,690 5,583,679 5,608,556 | Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Hirose et al. | | | | |
| 5,298,199 5,434,690 5,583,679 5,608,556 | Mar. 29, 1994 Jul. 18, 1995 Dec. 10, 1996 | Hirose et al. | | | | |
| 5,434,690 5,583,679 5,608,556 | Jul. 18, 1995 Dec. 10, 1996 | | | | Mar. 4, 1991 | |
| 5,583,679 5,608,556 | Dec. 10, 1996 | Hisatake et al. | | | Oct. 11, 1991 | |
| 5,608,556 | | | | | Jul. 26, 1994 | |
| | | Ito et al. | | | May 31, 1995 | |
| 5,953,093 | Mar. 4, 1997 | Koma | | | Jun. 21, 1994 | |
| | Sep. 14, 1999 | Hirata et al. | | | Nov. 10, 1998 | |
| 5,986,732 | Nov. 16, 1999 | Ozeki et al. | | | Sep. 23, 1996 Mar. 6, 1997 | |
| 5,995,190 | Nov. 30, 1999 | Nagae et al. | | | | |
| 6,191,836 | Feb. 20, 2001 | Woo et al. | | | Jun. 5, 1997 | |
| | | | | | | |
| DOCUMENTS | | | 1.374 | (| : (| |
| | | | | | Translation | |
| ment Number | Date | Country | Class | Subclass | Yes | No |
| 7-43719 | Feb. 14, 1995 | Japan | | | | х |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| IS (Including Au | hor, Title, Date, Per | tinent Pages, Etc.) | | | <u></u> | |
| ~. | | | | | | |
| | | / | | | | |
| | | | | | | |
| | | | | | _ | |
| | | | | | | ····· |
| | | - | | | | |
| | , | Date Considered | | | | |
| | 7-43719 | 7-43719 Feb. 14, 1995 | 7-43719 Feb. 14, 1995 Japan TS (Including Author, Title, Date, Pertinent Pages, Etc.) | 7-43719 Feb. 14, 1995 Japan TS (Including Author, Title, Date, Pertinent Pages, Etc.) | 7-43719 Feb. 14, 1995 Japan S (Including Author, Title, Date, Pertinent Pages, Etc.) | ment Number Date Country Class Subclass Yes 7-43719 Feb. 14, 1995 Japan TS (Including Author, Title, Date, Pertinent Pages, Etc.) |

P-IDATA\WP60\SK\PGENEWAP\1449.WPD